

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Pocius, Douglas W.; Camras, Michael D.; Hofler, Gloria E.  
Assignee: Lumileds Lighting U.S., LLC  
Title: Forming An Optical Element On The Surface Of A Light Emitting Device For Improved Light Extraction  
Serial No.: 09/823,841 Filing Date: March 30, 2001  
Examiner: Unknown Group Art Unit: Unknown  
Docket No.: M-9728 US

San Jose, California  
October 18, 2001

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97(b)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO-1449 are called to the attention of the Examiner for the above patent application. Copies of these documents are enclosed.

Citation of these documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on October 18, 2001.

*Mark E. Schmidt* 18 October 2001  
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Attorney for Applicant(s) Date of Signature  
*Agent*

Respectfully submitted,

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